

## ISIMAN® Operational risks (Basel II)

# SOLUTIONS

Cover operational risks  
in financial institutions

### Objectives

- Ensure compliance with the Basel II requirements in terms of operational risk management
- Set up long-term, ongoing internal control monitoring system
- Include internal control activities in the day-to-day running of the enterprise.

### Solution mise en œuvre

- Risk mapping per process
- Identify and assess risks in terms of probability and possible impact on corporate objectives.
- Specify control points using a standard framework
- Set up self-assessment for directors.
- Set up and monitor corrective action plans
- Feedback from independent auditors
- Indicators and scorecards provide objective measurements for management and pinpoint inherent weaknesses in procedures
- Set up and monitor segregation of duties

ISIMAN provides all functions necessary for high quality feedback monitoring and reporting:

- Selective operation of feedback process
- Automatic generation of feedback documents including user ID, return date and reminder period
- Integrated one or two step workflow for feedback
- Reminders sent out until results obtained
- Standard feedback monitoring and graphic format reporting functionality
- Export into Excel spreadsheet of all validated results

### Avantages

#### Rapid Implementation

Within a matter of days the solution can already be in use as a standard questionnaire is provided as an integral part of the solution.

#### Customizable

The solution is customisable and can be adapted to keep pace with changing requirements.

### **Minimal IT Architecture Requirements**

The solution runs in an Intranet/Internet environment and is installed on any Microsoft server. It requires minimum IT support. Web-based publication and functionality require no client installation.

### **For Large and Small Enterprises**

The solution is suitable for large and small enterprises. It is designed to support a large number of users in several sites, but can equally be used in a single-site configuration.

### **Easy to implement**

The customized, automated solution enables you to set up an optimal risk management system with the minimum of effort.

### **Accountability**

Publication of results for all those involved in internal control and risk management ensures reliability and accountability for all.

### **Step by step**

The preliminary steps required for implementation of the solution in the short term provide the basis for many years to come.

### **Secure Web system**

Secure access rights specific to your enterprise ensure security at all times.

### **Value added**

Although the solution is specifically designed for compliance with the FFSA, it can be implemented as part of our overall offer [ISIMAN](#)

### [Enterprise](#)

#### Companies concerned

All financial institutions concerned by the requirements of Basel II.



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